



# VERSANT PARTNERS

## Business Continuity Fact Sheet

Our firm's policy is to respond to a Significant Business Disruption (SBD) by safeguarding employees' lives and firm property, making a financial and operational assessment, quickly recovering and resuming operations, protecting all of the firm's books and records, and allowing our customers to transact business. In the event that we determine we are unable to continue our business, we will assure customers prompt access to their funds and securities.

Our plan anticipates two kinds of Significant Business Disruption (SBD), internal and external. Internal SBDs affect only our firm's ability to communicate and do business, such as a fire in our building. External SBDs prevent the operation of the securities markets or a number of firms, such as a terrorist attack, a city flood, or a wide-scale, regional disruption. Our response to an external SBD relies more heavily on other organizations and systems, especially on the capabilities of our clearing firm Penson Financial Services Canada Inc.

Critical information contacts	Address and phone numbers
Chief Business Continuity Officer: <b>*Christiane Gazaille (Montreal)</b>  BCP Contact Toronto: <b>Tom Liston</b>  BCP Contact Montreal <b>Michael I. Jams</b>	<b>Montreal Office</b> 1100 boul. Rene-Levesque ouest Bureau 1310 Montreal, QC H3B 4N4 Tel : 514-845-8111 Fax: 514-845-0200 Toll Free : 1-800-465-5616 www.versantpartners.com cgazaille@versantpartners.com tliston@versantpartners.com mjams@versantpartners.com  <b>Toronto Office</b> 20 Queen Street West, Suite 3110 Toronto, ON M5H 3R3 Tel: 416-363-5151 Fax: 416-363-6609 Toll Free: 1-866-442-4485
Carrying Broker	<b>Fidelity Clearing Canada</b> 483 Bay Street Suite 200 Toronto, Ontario M5G2N7 1 888 943-5483  <a href="https://clearingcanada.fidelity.com/advisor/portal/home?deeplink=yes&amp;pageUniqueName=afc.homepage">https://clearingcanada.fidelity.com/advisor/portal/home?deeplink=yes&amp;pageUniqueName=afc.homepage</a>

To obtain the details Business Continuity Plan please contact: \*Christiane Gazaille